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PATENT APPLICATION Docket No: 11398.64.1

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of		)
	Michael E. Goodwin et al.	)
Serial No.:	10/797,159	) Art Unit
Filing Date:	March 10, 2004	) 3754
Confirmation No.:	4068	)
For:	CONTAINER SYSTEMS FOR MIXING FLUIDS WITH A MAGNETIC STIR BAR	)

## CERTIFICATE OF DEPOSIT UNDER 37 C.F.R. § 1.8

I hereby certify that the following documents are being deposited with the United States Postal Service as First Class Mail, postage prepaid, in an envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria, Virginia 22313-1450, on the 24<sup>th</sup> day of January 2005.

- Transmittal for First Supplemental Information Disclosure Statement (3 pages)
- First Supplemental Information Disclosure Statement (2 pages)
- Form PTO-1449 listing 8 references (2 pages)
- A copy of 1 of the references listed on the Form PTO-1449

Postcard

Respectfully submitted,

DANA L. TANGREN

Attorney for Applicant Registration No. 37,246

Customer No. 022913

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# TRANSMITTAL FOR FIRST SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing and pursuant to 37 C.F.R. § 1.97 is a First Supplemental Information Disclosure Statement, which includes the following statements, if any, required variously by 37 C.F.R. § 1.98:

English language which are not translated.
 Statement that selected cited references are substantially cumulative of an enclosed or previously submitted reference.
 Statement that selected cited references were previously cited by or submitted to the United States Patent and Trademark Office in a prior application which is relied upon for an earlier filing date under 35 U.S.C. § 120.

Statement of relevance of selected cited references not in the

	A.	Additional Materials Required Due to Content of Information Disclosure Statement		
Statem	Transmitted are the following documents in addition to the Information Disclosure Statement as required variously under 37 C.F.R. § 1.98:			
	<u>X</u>	Form PTO-1449 listing 8 references submitted for consideration.		
	<u>X</u>	A copy of 1 of the references listed on the Form PTO-1449.		
		English translations of () of the references listed on the Form PTO-1449 which are not in the English language.		
		Copie applic	s of the following documents from the prosecution of a previous, related ation:	
			Form PTO-1449 AND INFORMATION DISCLOSURE STATEMENT; and	
		,	Form PTO-892	
	B.	Additional Materials Required Due to Timing of Filing of Information Disclosure  Statement		
one (1)	The transmitted First Supplemental Information Disclosure Statement is being filed within one (1) of the following four (4) time periods:			
	I.	<u>X</u>	Prior to the later of either three (3) months following the filing date or the mailing of a first Office Action. Accordingly, no materials other than those listed above are enclosed.	
	II.		Following the latter of either three (3) months following the filing date or the mailing of a first Office Action, but before the mailing of a final Office Action or a Notice of Allowance. Accordingly, to secure consideration thereof, one (1) of the following is also enclosed:	
			Promptness Certification; or	
			Check No in the amount of \$ constituting the submission fee set forth in 37 C.F.R. § 1.17(p).	
	III.	,	After the mailing of a Notice of Allowance, but before payment of the Issue Fee. Accordingly, in order to secure consideration thereof, each of the following are also enclosed:	
			Promptness Certificate;  Petition for Consideration; and	

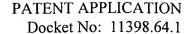
			Check No. in the amount of \$ constituting the petition fee set forth in 37 C.F.R. § 1.17(i)(1).	
	IV.		After payment of the Issue Fee. Accordingly, in order to secure consideration thereof, each of the following are also enclosed:	
			Petition to Withdraw from Issue; and	
			Check No in the amount of \$ constituting the petition fee set forth in 37 C.F.R. § 1.17(i)(1).	
	C.	<u>Fees</u>		
The Commissioner is hereby authorized to charge payment of or any deficiency in the following fees associated with this communication, or to credit any overpayment thereof, to Deposit Account No. 23-3178. A duplicate copy of this letter is enclosed.				
	<u>X</u>	Any fee required in relation to filing of this letter or any documents transmitted therewith.		
		The submission fee set forth in 37 C.F.R. § 1.17(p) in the event that 37 C.F.R. § 1.97(c) applies and the Examiner is not satisfied that any Promptness Certificate submitted meets the requirements of 37 C.F.R. § 1.97(e).		
		The submission fee set forth in 37 C.F.R. § 1.17(p).		
		The petition fee set forth in 37 C.F.R. § 1.17(i)(1).		
	Dated this 24 <sup>th</sup> day of January 2005.			
Respectfully submitted,				

DANA L. TANGREN Attorney for Applicant Registration No. 37,246

Customer No. 022913

Telephone No. 801.533.9800 Facsimile No. 801.328.1707

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For:	CONTAINER SYSTEMS FOR MIXING FLUIDS WITH A MAGNETIC STIR BAR	) ) )

# FIRST SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97

Commissioner for Patents PO Box 1450 Alexandria, Virginia 22313-1450

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed listed references are disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof that is not a US patent document is also enclosed.

Dated this 24<sup>th</sup> day of January 2005.

Respectfully submitted,

Dana L. Tangren

Attorney for Applicant Registration No. 37,246

Customer No. 022913

Telephone No. 801.533.9800

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Form PTO-1449

Applicant:

Michael E. Goodwin et al.

Serial No.:

10/797,159

Filing Date: For:

March 10, 2004

CONTAINER SYSTEMS FOR MIXIT LUIDS WITH A MAGNETIC STIR BAR

Sheet 1 of 2 Confirmation No.: 4068 Att'y Docket No.: 11398.64.1 Group: 3754

### FIRST SUPPLEMENTAL INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

## **U.S. Patent Documents**

Examiner <u>Initial*</u>	Document Number	Issue <u>Date</u>	Name	
	<del></del>	<del></del>	<del></del> -	
1	6,416,215 B1	07/09/2002	Teren	tiev
2	6,494,613 B2 12/17/2002 Terentiev		tiev	
3	6,670,171 B2	12/30/2003	Carll	
4	6,758,593 B1	07/06/2004	Teren	tiev
5	2001/0039369 A1	11/08/2001	Teren	tiev
6	2002/0082173 A1 06/27/2002 Terentiev		tiev	
7	2004/0218468	11/04/2004	Teren	tiev
		Foreign Patent Documents		
Examiner <u>Initial</u> *	Document Number	PublicationDate	Country or Patent Office	Translation
8	WO 03/028869 A2	04/10/2003	PCT	N/A
	Other Documents			

(including author, title, pertinent pages, etc.)

Examiner Initial\*

Examiner:	Date Considered:

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449

Applicant: Michael E. Goodwin et al.

Serial No.:

For:

10/797,159

Filing Date: March 10, 2004

Confirmation No.: 4068 Att'y Docket No.: 11398.64.1

Group: 3754

Sheet 2 of 2

CONTAINER SYSTEMS FOR MIXING FLUIDS WITH A MAGNETIC STIR BAR

## References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

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Examiner:	Date Considered:

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.